

# The impact of a tailored mindfulness-based program for resident physicians on distress and the quality of care: A randomised controlled trial

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**Running headline:** Mindfulness for residents

## Abstract

**Background** Many resident physicians suffer from distress, which endangers their individual health and the quality of care.

**Objective** To examine the impact of a tailored mindfulness-based program (MBP) for resident physicians on distress and the quality of care.

**Methods** A single-centre, two-armed, longitudinal randomised controlled trial. The intervention group took part in an eight-week, tailored MBP that included a course book. The MBP was followed by a four-month maintenance phase. The active control group received the course book for self-study. Assessments were at baseline (t0, 0 months), after the intervention (t1, 2 months), after the maintenance phase (t2, 6 months), and at follow-up (t3, 12 months). The primary outcome was change in burnout at t2. Secondary outcomes included perceived stress, mental distress, perceived job strain, depression, anxiety, hair cortisol secretion, self-reported medical errors, and third-party ratings by patients, supervisors, and colleagues.

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**Results** Seventy-six participants were randomised to the intervention- and seventy-one to the control group. The intervention group showed greater improvements in the primary outcome (burnout at t2,  $d = 0.32$ ,  $p = .046$ ), in perceived stress ( $d = 0.31$ ,  $p = .046$ ) and perceived job strain ( $d = 0.33$ ,  $p = .026$ ) at t1, and in supervisor rated empathy ( $d = 0.71$ ,  $p = .037$ ) and colleague rated attentiveness ( $d = 0.85$ ,  $p = .006$ ) at t2. There was no difference between groups in the other outcomes.

**Conclusion** A tailored MBP for resident physicians improved burnout and might have improved other aspects of distress and the quality of care.

**Keywords** resident physicians, distress, mindfulness, burnout, cortisol, quality of care

## BACKGROUND

Residency is a demanding period in a physician's career. Excessive workload, long working hours, administrative burdens, scarcity of supervisor support, restricted autonomy, and economic pressure behind medical decisions contribute to resident physicians' high levels of distress (1–3). More than half of resident physicians are affected by burnout (4), which exceeds the prevalence in practising physicians (4–7), medical students (4), faculty (8), and the general working population (4). Burnout is often defined as a work-related syndrome that is characterised by emotional exhaustion, depersonalisation, and reduced personal accomplishment (9,10). It is often used as a surrogate measure of heightened distress in resident physicians (11,12). Besides burnout, resident physicians report higher levels of depression than the general population (13) and are less satisfied with their life (14). Burnout in resident physicians can have serious personal consequences such as substance abuse (15), suicidal thoughts (16), work-life conflicts (17), and increased odds of motor vehicle incidents (18). Furthermore, burnout endangers the quality of care, as burned out resident physicians seem to commit more medical errors (17,19–23), have less medical knowledge (24), show reduced work engagement (25), express less empathy (17,26,27) and inferior social skills (28), and adhere less to practice and safety standards (23). On a societal level, physician burnout causes tremendous costs in Western healthcare systems through higher levels of absenteeism, job turnover, and early retirement (e.g., \$4.6 billion in the US per year) (29). In light of these findings, it is important to reduce rates of burnout and other symptoms of distress among resident physicians, for the sake of themselves, their patients, and the quality of care in general (30).

Approaches to reduce resident physicians' distress can be classified into initiatives directed at organisations, that is, targeting the work environment, and initiatives directed at individuals, that is, targeting physicians (31). A promising individual-directed approach is the implementation of mindfulness-based programs (MBPs). Mindfulness can be described as moment-to-moment awareness, cultivated by paying attention to the present moment, as non-judgmentally and openheartedly as possible (32). A meta-analysis has shown that MBPs are effective in reducing physicians' burnout and stress (33). This can be explained by the notion that, through training in mindfulness, resident physicians increase self-awareness, strengthen their ability to set priorities and limits regarding their work, and learn to be more accepting of unpleasant and difficult experiences (34). Moreover, MBPs for physicians have been shown to improve the quality of care,

for example, in terms of increased empathy, dedication to work, or hand hygiene adherence (35). The positive effects of a mindfulness practice on the quality of care can be explained by Epstein's notion that mindful physicians are more aware of their own physical and mental processes and better recognise bias in judgment (36). This critical self-reflection would enable physicians to listen attentively to their patients, to communicate with greater awareness, and to act with compassion; in other words, to deliver a higher quality of care (36).

However, the evidence on MBPs for resident physicians is ambiguous and lacks methodological rigour. There are only three randomised controlled trials (RCTs) (34,37,38), while most evidence is based on non-randomised trials (33). Furthermore, effects have almost exclusively been measured by self-reports. Moreover, the complex interplay of resident physicians' specific work stressors, resources, and personality traits call for specific tailored programs (35,39–42). With the present study, we intended to improve on these shortcomings as we developed a tailored program that takes resident physicians' particular needs into account, and puts a focus on how to integrate mindfulness into daily medical practice. For the assessment of this program, we conducted a RCT that entailed different types of outcome measures including self-report measures, hair cortisol secretion as biomarker of stress, third-party ratings by patients, supervisors, and colleagues, as well as information provided by human resource departments.

We hypothesised that participation in a novel, tailored MBP for resident physicians would result in reduced rates of burnout and other symptoms of distress, as well as improved markers of the quality of care.

## Methods

### Trial design and participants

We conducted a single-centre, two-armed, parallel, longitudinal RCT comparing a tailored MBP to an active control condition. The allocation ratio was 1:1. Measurements were taken at baseline (t0, 0 months), after the program (t1, 2 months), after a maintenance phase (t2, 6 months), and at follow-up six months after completion of the maintenance phase (t3, 12 months). Participants were recruited through e-mails, flyers, a study webpage, short presentations at division meetings, radio, and lay media announcements. Eligible participants were physicians aged under 45, with an ongoing position as a resident physician at baseline, and a minimum employment of 40%. The study took place at the University of Freiburg, Germany from September 2018 to May 2020. Participants received no financial compensation but could collect points for Continuing Medical Education (CME). Participants provided written, informed consent. The program trainers were three psychiatrists who are highly experienced mindfulness instructors, certified by the German Mindfulness-based Stress Reduction program (MBSR) teacher association (43). The study protocol was pre-registered (trial registration: DRKS00014015), published (42), and approved by the Ethics Committee of the University of Freiburg, Germany. The report of the study follows the CONSORT statement for non-pharmacological treatment interventions (44).

### Interventions

The intervention group engaged in eight (135 minutes one evening per week) guided group sessions (maximum 14 participants per group) as well as a full day 6-hour, silent retreat. The sessions were

followed by a four-month maintenance phase consisting of three monthly booster sessions. We based the program on the validated Mindfulness-based Stress Reduction program (MBSR) (45) and tailored it to resident physicians' particular needs and circumstances. The tailoring process, program content, and feasibility findings have been described elsewhere (40,42). Importantly, as proposed in the literature, we introduced mindfulness as a practice of self-care, in order to promote personal wellbeing, meaning, and professional fulfilment rather than as a means to foster stress resistance (30,46,47). We did this to prevent the mindfulness practice from being purposely or unwittingly functionalised for self-, or performance optimisation (48). Each session followed the same structure: (1) theoretical input (20 min), (2) formal mindfulness practice (45 min), (3) group inquiry (40 min), (4) integration into daily medical practice (25 min), and (5) practice-at-home assignments (5 min). An outline of the session themes and a summary of contents are in the Supplementary material, Table 1. After each session, participants received a course book containing detailed information and a description of practical exercises about mindfulness and its relationship with stress and quality of care as well as texts about the importance of self-care, acceptance, and meaning in medicine. For treatment fidelity, the trainers received a curriculum guide that included a comprehensible schedule and detailed accompanying material.

The control group received the same course book on the same weekly basis as the intervention group, except that the course book for the control group did not contain and a description of practical exercises. Thus, we paralleled the groups with respect to information (i.e., description-based learning) but contrasted them with respect to guided experience and practice of mindfulness (i.e., experience-based learning). Description and experience are both powerful ways of learning and adaptation, but involve systematically distinct cognitions and behaviours (49). For a full understanding of mindfulness, it has been suggested that this requires an introspective practical engagement in mindfulness, resulting in first person experience. This experience, in turn, cannot be gained through description (i.e., speaking, writing, theorising) alone (50). We hypothesised that the combined acquisition of mindfulness from first person experience and description in the intervention group would result in greater benefits than learning from description alone in the control group. After the completion of their participation in the trial, participants of the control group were offered to take part in the MBP.

## Outcomes

To administer self-report measures, we used the online survey platform Unipark EFS Survey by Questback GmbH, Cologne, Germany. Cronbach's alphas stem from the current sample at baseline. In addition to the study outcomes we report below, we assessed positive mental health, qualitative and implicit measures, as well as keyboard and mouse usage as an indirect measure of stress. These outcomes are reported elsewhere (42).

The primary outcome, as established in the study protocol, was change in burnout levels between  $t_0$  and  $t_2$ , measured by the 19-item Copenhagen Burnout Inventory (CBI,  $\alpha = 0.93$ ) (9). Participants rated to what extent they experienced exhaustion (i.e., the core component of burnout) (51), on three subscales for personal burnout (6 items,  $\alpha = 0.84$ ), work-related burnout (7 items,  $\alpha = 0.85$ ), and client-related burnout (6 items,  $\alpha = 0.90$ ). All items are scored on a 5-point scale (from *never* to *very often*; range, 0-100). Secondary outcomes included measures of distress other than burnout as well as hair cortisol secretion, markers of the quality of care, satisfaction, and attendance.

### *Distress*

General mental distress was measured by the 12-item General Health Questionnaire (GHQ,  $\alpha = 0.89$ ) (52). Participants rated how often they experienced symptoms of psychological and psychiatric disorders during the past weeks on a 4-point scale (from *not at all* to *much more than usual*; range, 0-3). Stress was measured by the 10-item Perceived Stress Scale (PSS,  $\alpha = 0.86$ ) (53). Participants rated the frequency of stress-related feelings and thoughts during the past month on a 5-point scale (from *never* to *very often*; range, 0-4). Depression ( $\alpha = 0.69$ ) and anxiety ( $\alpha = 0.74$ ) were measured by the 4-item Patient Health Questionnaire (PHQ-4) (54). Participants rated how often they experienced symptoms of depression and anxiety during the past two weeks on a 4-point scale (from *not at all* to *almost every day*; range, 0-3). Perceived job strain was measured by the 8-item Irritation Scale (IS,  $\alpha = 0.87$ ) (55). Participants rated to what extent statements about job strain apply to them on a 7-point scale (from *not at all* to *very much*; range, 1-7), divided into two subscales for cognitive strain (3 items,  $\alpha = 0.87$ ) and emotional strain (5 items,  $\alpha = 0.88$ ).

### *Hair cortisol*

We took hair samples of 1 cm in length and 3 mm in diameter close to the scalp from a posterior vertex position at four on-site appointments. We did this to assess hair cortisol secretion as a biomarker of stress via the Immunoassay method (56). Hair sampling provides an objective measure of longer-term cortisol secretion. While transient cortisol secretion is an adaptive response to cope with acute stressors, elevated levels of longer-term cortisol secretion indicate chronic stress, which is related to ill-health (57). The chosen approach enables the evaluation of the cumulative cortisol level of the four weeks prior to assessment (58). Exclusion criteria were baldness, pregnancy, use of glucocorticoid medication, and adrenocortical dysfunction (e.g., Cushing Syndrome, Morbus Addison) (59,60).

### *Quality of care*

Medical errors were assessed by a six item scale ( $\alpha = 0.78$ ) to gauge the quality of patient care provided by resident physicians (19). Participants indicated on a 5-point scale how often errors occurred (from *never occurs* to *occurs often*; range, 1-5). This scale was translated into German by the authors. To measure absenteeism, we asked human resources departments of the respective hospitals at t3 to indicate how many days participants had been absent due to illness during the twelve months prior to the start of the study and during the 12 months of the study period (open response format). In addition, at t0 and t2, participants selected one of their supervisors and one of their physician colleagues to rate on three items: how tense, empathic and attentive they appeared. Moreover, three patients rated on five items: how attentive, empathic, and competent the respective resident physician appeared as well as how satisfied they were with the physician and the care. Ratings were given on a 7-point scale (from *not at all* to *very much*; range, 1-7). All third-party ratings were given anonymously and were not shown to the participating resident physicians. In contrast to pre-registration, we do not report assessments by the Jefferson Scale of Physician Empathy due to licensing issues (61).

### *Satisfaction and attendance*

At t3, participants rated on five self-constructed items their overall program satisfaction, satisfaction with trainers, perceived professional as well as private benefit/harm, and willingness to recommend

the program to peers (7-point scale; range, 1-7; higher values indicate greater satisfaction, benefit, and willingness). In line with previous studies, study completion was defined by having attended four or more sessions (34,62).

### Sample size

We planned to enrol 178 participants to detect effects on mental health variables of 0.45 standard deviations with 80% power (63) and with an anticipated dropout of 30%.

### Randomisation

Participants contacted us via telephone and email, were assessed for eligibility, and received confirmation of study enrolment via email from the study team. We used minimisation to allocate participants into one of two groups using the software Qminim (64). Through this approach we minimised the imbalance between the groups with regard to gender (male, female) and baseline levels of personal burnout (CBI cut-off values 0 to 37.4=low, 37.5 to 62.4=medium, 62.5 to 100=high burnout) (65). We applied a weighted random allocation with a probability of 0.8 to minimise imbalance. A researcher with no contact to participants carried out minimisation and group assignment after the completion of baseline assessments.

### Blinding

Due to the nature of the interventions, participants and trainers were aware of the allocated arm. To minimise bias, self-report measures were administered online. Moreover, the outcome assessors of on-site assessments (i.e., hair sampling and instructions for third-party ratings) were blinded to group allocation and were not involved in data analyses.

### Statistical methods

We conducted the analyses according to the intent-to-treat principle. We performed 2-tailed tests and considered findings with  $p < .05$  as statistically significant. We calculated t-tests and chi-squared tests to conduct baseline comparisons between groups. Missing outcome data were handled by linear mixed modelling using maximum likelihood estimation. Through graphical analyses and statistical tests provided in the R-package *MissMech* we determined all missing data to be missing at random. The main analyses were performed by linear mixed modelling the group by point in time interaction, thus taking possible baseline differences between groups into account. The model included group, point in time, and the group by point in time interaction as fixed effects. Moreover, the model included a random intercept to take into account inter-individual differences as well as an autoregressive residual covariance structure to take into account correlations that arise from repeated measures. For a comparison between groups across all points in time, an overall interaction effect was calculated. For a comparison between groups at a particular point in time, dummy coded treatment contrasts were calculated. For additional within-group analyses, the model included the same parameters except for the group and interaction effect. We adjusted all models to allow for different residual variances if the assumption of homogeneity was not met (66). We replaced outliers (i.e., values  $\geq 3$  SDs from mean) with the highest/-lowest value that excluded the outliers (Winsor method). By this correction, less than 1% of data were modified. We did not adjust the level of significance for multiple testing (67). Therefore, the analysis of all outcomes except the primary outcome should be considered exploratory and interpreted with caution. We calculated

Cohen's  $d$  with the adjusted model-based differences in change from baseline divided by the standard deviations at baseline (68). All analyses were carried out using R version 4.0.3.

## Results

### Recruitment and participant flow

Recruitment took place between July 2018 and May 2019. The recruitment ended when the scheduled closure date was reached. The final sample for the primary outcome consisted of 76 resident physicians in the intervention group and 71 in the control group (shown in Figure 1). Participants worked in at least 25 different clinics including a university hospital, hospitals in private and public ownership, as well as church-funded hospitals, from both urban and rural areas (some participants did not provide information on their employers). We knew from previous studies that anonymity and data protection were important issues for many resident physicians. Therefore, participants generated an individual code for the data assessments, which only they could link to their data. Due to these efforts to ensure anonymity and data protection, we were not able to ascertain reasons for dropout. The treatment for both groups started within one month after randomisation.

### Baseline measures

At baseline, there were no statistically significant differences between groups with regard to demographics, meditation experience (Table 1), distress, and quality of care outcomes (Table 2), except for a difference in how attentive the resident physicians were, as judged by their colleagues ( $p = .041$ ). The raw outcome values without any model adjustments are shown in Table 2.

### Primary outcome

From baseline to t2, the dummy-coded contrast indicated that the intervention group showed statistically significantly greater reductions in burnout levels than the control group ( $d = 0.32$ ;  $p = .046$ ; MD = -4.81; 95% CI = -9.52, -0.11; Table 3). This effect was small. There were no statistically significant differences between groups with regard to changes in burnout levels from baseline to t1, from baseline to follow-up, or across all time periods (all  $ps > .05$ ). Parameter estimates for all group comparisons are shown in Table 3. The plots are shown in the Supplementary material Figure 1 and Figure 2.

### Secondary outcomes

#### *Distress and hair cortisol*

From baseline to t1, the intervention group showed statistically significantly greater reductions in perceived stress ( $d = 0.31$ ;  $p = .046$ ; MD = -1.76; 95% CI = -3.49, -0.04) and perceived job strain ( $d = 0.29$ ;  $p = .044$ ; MD = -0.36; 95% CI = -0.70, -0.01) compared to the control group (Table 3). These differences were small. We found no statistically significant differences between groups in change in the other distress outcomes and hair cortisol at any single point in time, or across all time periods

(all  $ps > .05$ ). Within-group analyses revealed that scores in most distress outcomes and hair cortisol decreased statistically significantly in both groups to a small to medium degree (Supplementary material Table 2 and Table 3).

#### *Quality of care*

From baseline to t2, the intervention group showed a statistically significantly greater gain in how empathic they were, as judged by their supervisors ( $d = 0.71$ ;  $p = .037$ ; MD = 0.73; 95% CI = 0.06, 1.40), and in how attentive they were, as judged by their colleagues ( $d = 0.85$ ;  $p = .006$ ; MD = 0.95; 95% CI = 0.30, 1.60) compared to the control group (Table 3). These differences were medium and large. There were no statistically significant differences in change in medical errors, patients' ratings, and absenteeism between the groups at any point in time (all  $ps > .05$ ). Within-group analyses revealed statistically significant reductions in self-reported medical errors across all time periods in both groups (Supplementary material Table 2 and Table 3). Third-party ratings improved only in the intervention group, with a statistically significant, small increase in how empathic participants were, and a statistically significant medium increase in how attentive they were, as judged by their colleagues.

#### **Additional outcomes: Satisfaction and attendance**

The mean ratings of the intervention group for satisfaction with the program and the trainers, for professional and private benefit, and for the willingness to recommend the program were high (Table 4). Of the 77 participants randomised to the intervention group, 71 completed the eight-week program. The mean attendance was 6.64 out of a total of nine sessions ( $SD = 1.75$ ). The mean attendance at the three maintenance sessions was 1.33 sessions ( $SD = 1.02$ ). However, for 12 participants, information on attendance at the maintenance sessions was not available. Harms from the MBP were not reported.



## Discussion

This RCT compared an eight-week mindfulness-based program that was tailored to the needs of resident physicians with an active control. After a four-month maintenance phase at t2 (i.e., the primary end point), the intervention group that participated in the MBP showed greater reductions in levels of burnout than the control group. This effect was small. Moreover, at t1, the intervention group showed greater reductions in perceived stress and perceived job strain. These differences were also small. At t2, the intervention group showed greater improvements in supervisor rated empathy and colleague rated attentiveness. These differences were medium and large. There was no significant difference between the groups in the other outcomes of distress, hair cortisol, and the quality of care at any single point in time, or across all time periods. The results corroborated other findings on the feasibility of the tailored MBP (40) as attendance was high and participants were satisfied with the program and the trainers, perceived benefits from the program, and expressed their willingness to recommend it.

Regarding burnout reduction, we did not find an advantage of the tailored MBP over the control directly after the eight-week program at t1, but we found a significant advantage after a four-month maintenance phase at t2. The experience-based approach to mindfulness, as practiced in the intervention group, may need time and repetition for the true benefits in reducing burnout to be seen compared to the description-based approach, as undergone by the control group. However, the advantage in reducing burnout of the MBP over the control at t2 was small and no longer significant at t3 (i.e., 12 months after baseline). It is possible that reducing burnout in resident physicians is particularly difficult (34). One reason might be that burnout, once present in resident physicians, tends to persist (69). Likewise, interventions aiming at reducing physician burnout are generally found to have only modest effects (70). Moreover, it has been argued that individual-directed interventions such as the tailored MBP are less effective in reducing physician burnout than organization-directed interventions, because physician burnout is assumed to be primarily rooted in system level problems (31). However, the observed difference between groups in burnout reduction at t2 equals a 2.6-point difference when converted into emotional exhaustion on Maslach Burnout Inventory (10). It is known from the literature on physicians' health that each 1-point increase in emotional exhaustion on Maslach Burnout Inventory is associated with a 7% greater likelihood of reporting suicidal ideation (71), a 5% to 7% increase in the likelihood of reporting a medical error (20,72,73), and a 43% greater likelihood of reductions in professional effort (74). Therefore, even small reductions in burnout as observed in this study may be considered as meaningful differences. Other controlled trials that evaluated the effectiveness of MBPs for resident physicians are scarce. In accordance with our results, two studies found no advantage of an MBP over a control in reducing burnout directly after the program (34,37), while a third study found a medium advantage (38). The inconsistencies across studies may partly be attributed to differences in study design, but this warrants further research to determine moderating and mediating variables of burnout reduction in MBPs for resident physicians (75).

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Regarding secondary distress outcomes, the results suggest that the tailored MBP had a significant small advantage over the control in reducing perceived stress directly after the eight-week program at t1. This finding matches the conceptual basis of the tailored MBP in mindfulness-based stress reduction (MBSR) (45) and aligns with two other studies (37,38). The greater reductions in perceived job strain observed at t1 in the intervention group could be explained by participants being better able to detach from work problems while away from work (55). However, the differences between groups in reducing perceived stress and perceived job strain were no longer significant at t2 and t3, or across all time periods.

Regarding the quality of care, to the best of our knowledge, this was the first study of an MBP for resident and practising physicians to assess third-party ratings of interpersonal aspects of the quality of care. The results suggest that the tailored MBP was more effective in promoting supervisor rated empathy and colleague rated attentiveness at t2 than the control. This advantage of the tailored MBP might have resulted from the fact that the intervention group practiced the interpersonal aspects of care as part of undergoing the MBP (i.e., role-playing for mindful patient communication). The advantages in third-party ratings of empathy that were observed correspond with a review showing that MBPs are capable in improving self-reported empathy in physicians (35). Empathy is a key component of the physician-patient relationship and is associated with patient satisfaction, more patient enablement, and better clinical outcomes (76,77).

In summary, regarding effect sizes after the maintenance phase, the advantages of the MBP in maintaining and improving interpersonal aspects of quality of care over the control ranged from small to large, whereas the advantages in reducing symptoms of distress were small. There was no significant difference between the two groups in burnout reduction directly after the eight-week program, at follow up, or across all time periods. Furthermore, there was no significant difference between the groups in other secondary distress outcomes, hair cortisol, medical errors, patients' ratings, and absenteeism at any single point in time, or across all time periods. Within-group analyses revealed that both groups improved in many of these outcomes. Hence, the non-significant differences between groups in many outcomes and at many points in time might be due to the control group having surprisingly improved in these outcomes more than expected (see Supplementary material Table 2 and Table 3).

Overall, we found effects of the tailored MBP on some outcomes of distress and quality of care, while we failed to find effects on many others. Accordingly, when balancing the general effectiveness of the tailored MBP, several conclusions are possible. On the one hand, one could argue that, given the greater efforts in the intervention group compared to the control group, the advantages of the tailored MBP appear meagre. On the other hand, one could argue that, despite being limited in number and scale, these reductions in distress are meaningful and were reached through individual effort, that is, without changing the harsh working conditions that contribute to the high prevalence of distress among resident physicians in the first place. Moreover, participants were satisfied with the program, perceived benefits from it, and expressed their willingness to recommend it to others. Hence, an MBP for resident physicians might be beneficial in more general terms of wellbeing (34). Finally, the effects of the tailored MBP were noticed by the participants' supervisors and colleagues who rated those in the intervention group to be more empathic and attentive compared to those in the control group.

## Strengths and limitations

This trial has several methodological strengths including an active control group, a longitudinal design spanning four measurement points, a published and pre-registered study protocol (42), and a multi-method assessment including hair cortisol and third-party ratings by patients, supervisors, and colleagues. The trial included a broad sample from different specialisations and clinics and had a low drop-out rate of 18% at follow-up for the primary outcome.

This trial has several methodological limitations. First, we were unable to determine whether the within-group reductions in many distress outcomes in both groups could be attributed to the treatments being effective in substance or to unspecific effects such as Hawthorne, maturation, or parallel external events affecting both groups. Future studies should control for such unspecific effects by including an untreated control next to a treated control group. In particular, it is possible that the mere fact that someone reached out to the resident physicians, publicly acknowledged their problematic work situation, and offered possible solutions contributed to the improvements for both groups. Participants in both groups may have taken this attention as an acknowledgement and impetus to take care of their well-being, especially because the medical culture usually supports neglect of self-care and indifference to personal well-being (78). Second, we did not include a group that engaged in a standard MBP such as MBSR (45). Future studies might include such a group to assess the effects of our specific program tailoring to meet the needs of resident physicians. Third, participants were self-selected, and the results may have been influenced by selection bias. However, MBPs are believed to be most effective if individuals choose to participate (79). Therefore, self-selection in this case does not infringe on the ecological validity of the trial, and it is a standard and preferred practice for this type of program (80). In the same vein, blinding of participants to treatment conditions was not possible, and the ensuing treatment diffusion might have led to over or under reporting. Fourth, we did not control whether or not the control group actually read and comprehended the course book that was sent to them. Finally, the third-party ratings of interpersonal aspects of the quality of care were possibly biased as resident physicians might have selected patients whose treatment was successful and colleagues and supervisors with whom they shared a good relationship. However, this possibility does not represent a threat to internal validity, as it can be assumed that it affected both groups.

This trial also has several limitations regarding the interpretation and the implications of the findings. First, we did not adjust the alpha levels for multiple testing (67). This increases the risk of false positive findings. Hence, the analysis of all outcomes except from the primary outcome should be regarded exploratory and interpreted with caution, and future studies are needed to confirm these findings. Second, due to researcher allegiance, the advantage of the MBP over the control group might have been overestimated (81,82). Third, the MBP is time-intense, and resident physicians may be dissuaded from choosing to participate. Nevertheless, mindfulness is not tied to a specific time or place, it is non-invasive in nature, and, once learned, it can easily and flexibly be implemented into daily life, making it attractive to busy practitioners such as resident physicians (38). Finally, our program focuses on individual efforts to reduce distress and to improve markers of the quality of care. Although this seems beneficial to some individuals, mindfulness is not a panacea, and structural changes are needed to address the systemic problems rooted in medical training that contribute to the high prevalence of distress among resident physicians in the first place (4). Otherwise, individual programs such as MBPs run the risk of stabilising the stress-generating current

healthcare system by making its individuals more stress-resistant and personally responsible to deal with the consequences of stressors inherent in the current healthcare system. If dealing with distress is solely regarded as a personal responsibility, affected physicians may not be supported but blamed for not being resilient enough (31). Nevertheless, structural changes often lie beyond the sphere of personal influence and take effect at a slower pace. Therefore, it is important to provide resident physicians with individual strategies such as mindfulness to prevent or mitigate distress.

## Conclusion

Many resident physicians suffer from distress, which endangers not only their individual health but also the quality of care they provide. The results of this RCT suggest that a tailored MBP for resident physicians is more effective in reducing burnout on a medium time-scale than an active control and might be more effective in reducing certain symptoms of distress and improving certain interpersonal aspects of the quality of care. However, more research is needed to confirm results, to determine mediating and moderating variables of the MBP's effectiveness as well as its sustainability, and to disentangle program effects from unspecific effects.

## Conflict of interest

The authors declare that they have no conflict of interest.

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## Authors' contributions

All authors contributed to the design of the program and the study. SS and ASG developed the initial concept, secured funding and supervised all stages of the trial. JCF and VMA implemented the trial, handled the participants, recorded all data, and conducted the data analyses. JSF wrote the manuscript. ASG, SS, and VMA made valuable revisions to the manuscript. All authors approved the final version of the manuscript.

## Compliance with ethical standards

The study was approved by the Ethics Committee of the University of Freiburg, Germany. All procedures were in accordance with the ethical standards laid down in the 1964 Helsinki declaration and its later amendments.

#### **Informed consent**

Written informed consent was obtained from all individual participants included in the study.

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Figure legends

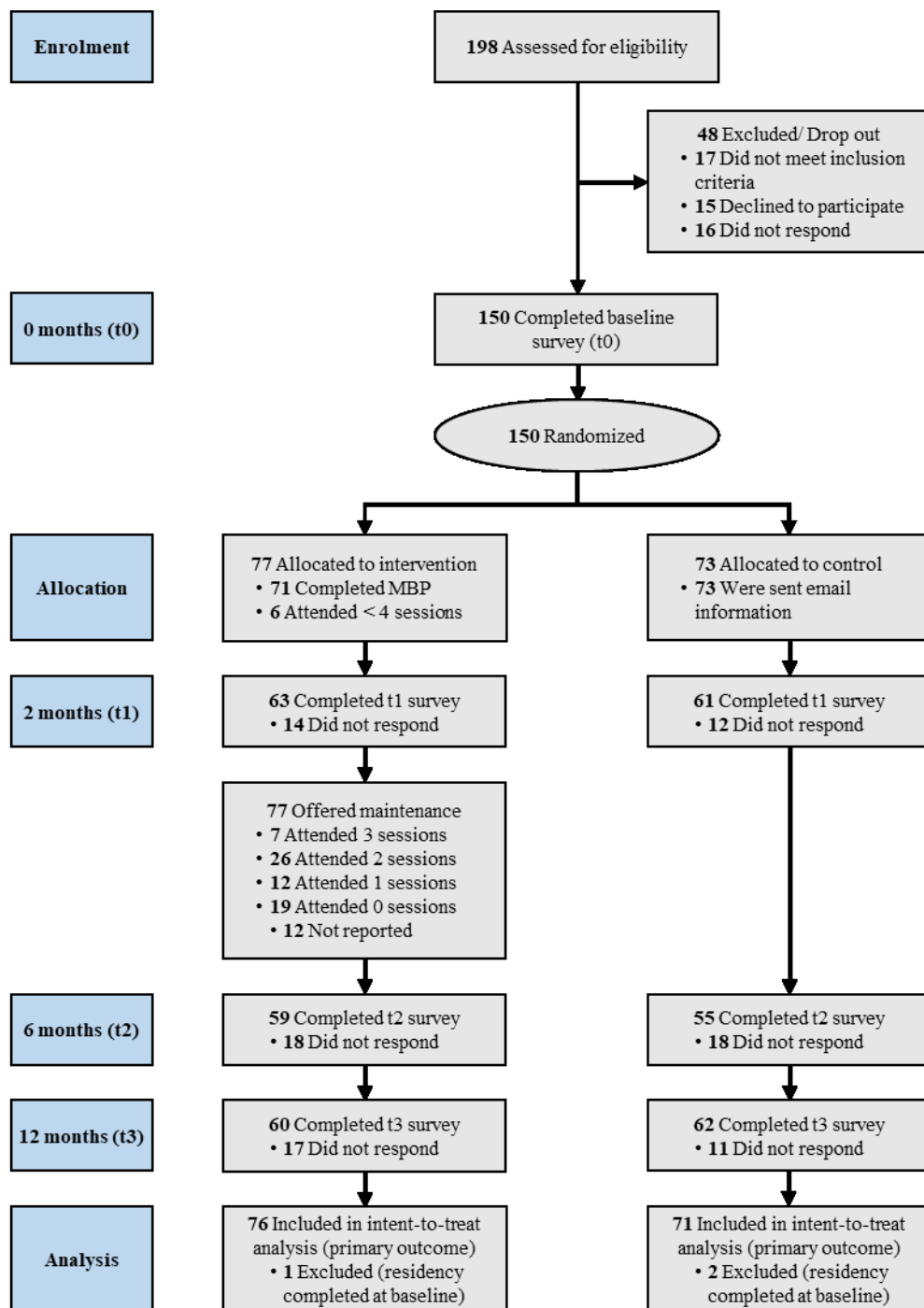


Figure 1 Study flowchart

**Table 1. Baseline demographics by group**

Variable	Total (n = 147)	Intervention (n = 76)	Control (n = 71)
Women, No. (%)	96 (65.31)	49 (64.47)	47 (66.20)
Age, mean (SD)	31.02 (3.43)	31.04 (3.39)	31.00 (3.49)
In a relationship, n (%)	109 (74.15)	56 (73.68)	53 (74.65)
One or more children, n (%)	22 (14.97)	9 (11.84)	13 (18.31)
Years in practice, mean (SD)	3.0 (1.66)	3.20 (1.67)	2.79 (1.63)
Hours worked per week, mean (SD)	48.96 (9.19)	48.82 (8.63)	49.10 (9.81)
Meditation experience, Yes. (%)	54 (36.73)	32 (42.11)	22 (30.99)
MBSR	6 (4.08)	3 (3.95)	3 (4.23)
Other mindfulness course	7 (4.76)	4 (5.26)	3 (4.23)
Regular personal practice	9 (6.12)	5 (6.58)	4 (5.63)
Retreat	5 (3.40)	3 (3.95)	2 (2.82)
Other experience	33 (22.45)	20 (26.32)	13 (18.31)
Specialty, No. (%)			
Internal medicine	34 (23.13)	19 (25.00)	15 (21.13)
Paediatrics	15 (10.20)	7 (9.21)	8 (11.27)
Psychiatry	14 (9.52)	7 (9.21)	7 (9.86)
Anaesthesiology	13 (8.84)	7 (9.21)	6 (8.45)
Neurology/ Neuropathology	12 (8.16)	2 (2.63)	10 (14.08)
Dentistry	10 (6.80)	5 (6.58)	5 (7.04)
Psychosomatic medicine	8 (5.44)	5 (6.58)	3 (4.23)
Gynaecology	7 (4.76)	4 (5.26)	3 (4.23)
Dermatology	6 (4.08)	2 (2.63)	4 (5.63)
Radiology	6 (4.08)	5 (6.58)	1 (1.41)
Urology	5 (3.40)	2 (2.63)	3 (4.23)
Nuclear medicine	4 (2.72)	2 (2.63)	2 (2.82)
Surgery	3 (2.04)	1 (1.32)	2 (2.82)

Ophthalmology	2 (1.36)	1 (1.32)	1 (1.41)
Orthodontics	2 (1.36)	2 (2.63)	0 (0.00)
Neurosurgery	2 (1.36)	2 (2.63)	0 (0.00)
Orthopaedics	2 (1.36)	2 (2.63)	0 (0.00)
Otorhinolaryngology	1 (0.68)	1 (1.32)	0 (0.00)
Oral and maxillofacial surgery	1 (0.68)	0 (0.00)	1 (1.41)

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**Table 2. Means, standard deviations, and sample sizes by group across the four points in time (raw values)**

Measure	Baseline (t0)						After 2 Months (t1)						After 6 Months (t2)						After 12 Months (t3)						
	Intervention			Control			Intervention			Control			Intervention			Control			Intervention			Control			
	<i>M</i>	<i>SD</i>	<i>N</i>	<i>M</i>	<i>SD</i>	<i>N</i>	<i>M</i>	<i>SD</i>	<i>N</i>	<i>M</i>	<i>SD</i>	<i>N</i>	<i>M</i>	<i>SD</i>	<i>N</i>	<i>M</i>	<i>SD</i>	<i>N</i>	<i>M</i>	<i>SD</i>	<i>N</i>	<i>M</i>	<i>SD</i>	<i>N</i>	
Distress	Burnout	41.7	13.8	7	41.9	16.9	7	39.7	13.1	6	40.2	15.7	6	37.8	15.4	5	42.8	17.9	5	36.0	14.2	6	39.6	14.4	6
		2	8	6	8	5	1	9	4	3	2	7	0	9	6	8	6	2	4	5	9	0	9	8	1
	Personal	50.2	16.1	7	53.2	17.6	7	46.5	14.0	6	50.6	17.9	6	45.0	15.8	5	51.7	19.3	5	42.1	16.4	6	48.7	17.3	6
		2	7	6	3	9	1	6	7	3	2	7	0	4	7	8	0	5	4	5	2	0	7	9	1
	Work-related	44.8	15.0	7	44.3	19.1	7	42.8	15.2	6	41.7	18.3	6	39.2	17.8	5	44.5	20.1	5	37.0	15.2	6	40.8	15.7	6
		8	7	6	2	6	1	6	5	3	9	0	0	2	6	8	1	5	4	8	2	0	1	6	1
	Client-related	29.5	18.1	7	27.9	21.5	7	29.4	17.2	6	27.9	19.7	6	29.1	18.2	5	32.1	21.8	5	28.7	18.0	6	29.3	19.6	6
		5	3	6	9	7	1	3	6	3	9	5	0	7	0	8	0	8	4	5	5	0	0	0	1
Mental distress	14.1	5.94	7	14.5	5.58	7	9.71	5.47	6	11.6	4.27	6	11.2	5.90	5	12.8	5.91	5	10.5	6.17	6	12.4	6.05	6	
	3		6	6		1			3	5		0	2		8	0		4	5		0	1		1	
Perceived stress	19.5	5.95	7	19.8	5.73	7	17.4	5.63	6	18.5	5.66	6	17.5	6.52	5	17.9	6.43	5	16.5	6.39	6	17.9	6.16	6	
	8		6	7		1	0		3	2		0	3		8	4		4	7		0	2		1	
Depression	1.72	1.16	7	1.73	1.24	7	1.35	0.86	6	1.42	1.09	6	1.26	1.09	5	1.35	1.18	5	1.32	1.24	6	1.26	1.08	6	
			6			1			3			0			8			4			0			1	
Anxiety	2.26	1.48	7	2.14	1.54	7	1.67	1.18	6	1.65	1.13	6	1.79	1.51	5	1.78	1.00	5	1.83	1.21	6	1.79	1.13	6	
			6			1			3			0			8			4			0			1	

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Quality of Care	Perceived job strain	3.77	1.20	7	4.02	1.27	7	3.17	1.20	6	3.69	1.18	6	3.31	1.26	5	3.56	1.30	5	3.20	1.20	6	3.56	1.32	6
				6			1			3			0			8			4			0			1
	Cognitive	4.45	1.49	7	4.68	1.63	7	3.46	1.43	6	4.15	1.62	6	3.74	1.62	5	4.08	1.70	5	3.59	1.62	6	4.17	1.72	6
				6			1			3			0			8			4			0			1
	Emotional	3.37	1.28	7	3.62	1.46	7	3.00	1.21	6	3.41	1.22	6	3.06	1.26	5	3.26	1.35	5	2.97	1.23	6	3.19	1.34	6
				6			1			3			0			8			4			0			1
	Hair cortisol	6.71	5.45	5	5.75	3.12	5	5.53	3.30	5	5.33	3.04	4	5.21	2.93	5	4.44	2.17	4	5.16	4.79	5	5.51	3.35	5
				3			2			1			4			7			9			3			0
	Medical errors	2.77	0.73	7	2.75	0.84	7	2.61	0.73	6	2.48	0.72	6	2.45	0.77	5	2.49	0.78	5			6	2.32	0.83	6
				6			1			3			0			8			4			0			1
Absenteeism	3.24	4.60	4	3.53	4.60	3													6.09	9.53	4	5.38	9.57	4	
			1			9															3			0	
Patients' ratings																									
Empathic	6.44	0.68	5	6.52	0.64	4							6.62	0.47	2	6.44	0.63	2							
			1			7									7			6							
Attentive	6.34	0.72	5	6.54	0.63	4							6.47	0.63	2	6.35	0.67	2							
			1			7									7			6							
Competent	6.46	0.63	5	6.53	0.59	4							6.60	0.58	2	6.50	0.48	2							
			1			7									7			6							
Satisf. physician	6.49	0.61	5	6.56	0.54	4							6.59	0.59	2	6.50	0.47	2							
			1			7									7			6							



Satisf. care	6.52	0.61	5 1	6.65	0.46	4 7		6.62	0.59	2 7	6.60	0.45	2 6
Supervisors' ratings													
Empathic	6.12	0.91	5 1	6.04	1.14	4 5		6.29	0.75	2 9	5.50	1.53	3 0
Attentive	6.10	1.12	5 1	6.07	1.10	4 5		6.43	0.68	2 9	5.87	1.57	3 0
Tense	3.02	1.53	5 1	2.98	1.44	4 5		2.62	1.50	2 9	3.13	1.50	3 0
Colleagues' ratings													
Empathic	6.02	1.17	5 4	5.90	1.12	4 9		6.39	0.70	3 2	5.76	1.39	3 3
Attentive	<b>5.72</b>	1.27	5 4	<b>6.20</b>	0.93	4 9		6.38	0.87	3 2	5.94	1.34	3 3
Tense	3.31	1.38	5 4	3.37	1.63	4 9		3.28	1.84	3 2	3.73	1.61	3 3

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Bold indicates statistical significance (two-tailed,  $p < .05$ ).

**Table 3. Adjusted between-group effect estimates as interaction of group and time**

Measure	After 2 Months (t1)			After 6 Months (t2)			After 12 Months (t3)			Overall		
	MD (95% CI) <sup>a</sup>	<i>p</i>	<i>d</i> <sup>b</sup>	MD (95% CI) <sup>a</sup>	<i>p</i>	<i>d</i> <sup>b</sup>	MD (95% CI) <sup>a</sup>	<i>p</i>	<i>d</i> <sup>b</sup>	<i>F</i>	<i>df</i>	<i>p</i> <sup>c</sup>
Burnout	-2.53 (-6.43, 1.38)	.206	0.17	-4.81 (-9.52, -0.11)	<b>.046</b>	0.32	-3.32 (-8.22, 1.58)	.185	0.22	1.36	(3,350)	.256
Personal	-3.16 (-7.49, 1.18)	.155	0.19	-4.02 (-9.11, 1.07)	.123	0.23	-3.74 (-8.95, 1.46)	.159	0.22	1.05	(3,350)	.369
Work-related	-2.25 (-6.92, 2.41)	.34	0.13	-5.38 (-10.92, 0.17)	.05	0.30	-4.07 (-9.77, 1.64)	.16	0.24	1.2	(3,350)	.29
Client-related	-1.90 (-7.77, 3.96)	.52	0.10	-4.79 (-11.68, 2.11)	.17	0.25	-2.03 (-9.08, 5.02)	.57	0.11	0.6	(3,350)	.58
Mental distress	-1.86 (-4.14, 0.41)	.10	0.35	-1.14 (-3.61, 1.34)	.36	0.20	-1.42 (-3.86, 1.02)	.25	0.25	0.9	(3,350)	.41
Perceived stress	-1.76 (-3.49, -0.04)	<b>.04</b>	0.31	-0.44 (-2.48, 1.60)	.67	0.07	-1.20 (-3.28, 0.89)	.26	0.20	1.7	(3,350)	.16
Depression	-0.22 (-0.57, 0.13)	.21	0.20	-0.10 (-0.51, 0.30)	.61	0.09	0.01 (-0.40, 0.42)	.96	-0.01	0.6	(3,350)	.58
Anxiety	-0.25 (-0.69, 0.20)	.27	0.18	-0.12 (-0.63, 0.38)	.63	0.08	-0.08 (-0.57, 0.41)	.76	0.05	0.4	(3,350)	.70
Perceived job strain	-0.36 (-0.70, -0.01)	<b>.04</b>	0.29	-0.06 (-0.44, 0.31)	.73	0.05	-0.14 (-0.51, 0.24)	.474	0.11	1.5	(3,350)	.20
Cognitive	-0.50 (-0.95, -0.06)	<b>.02</b>	0.33	-0.08 (-0.58, 0.42)	.76	0.05	-0.32 (-0.83, 0.18)	.207	0.20	2.2	(3,350)	.08
Emotional	-0.27 (-0.64, 0.10)	.15	0.20	-0.05 (-0.45, 0.35)	.79	0.04	-0.02 (-0.42, 0.37)	.91	0.02	0.8	(3,350)	.48

Distress

			3			0			4		2		2
Hair cortisol	-0.62 (-2.56, 1.32)	.53 1	0.14	-0.19 (-1.90, 1.52)	.82 9	0.04	-1.12 (-3.07, 0.82)	.26 0	0.24	0.7 5	(3,269)	.52 3	
Medical errors	0.04 (-0.18, 0.26)	.69 0	-0.05	-0.03 (-0.28, 0.21)	.79 7	0.04	-0.04 (-0.29, 0.21)	.75 0	0.05	0.1 9	(3,350)	.90 7	
Patients' ratings													
Empathic				0.24 (-0.08, 0.55)	.15 0	0.38							
Attentive				0.31 (-0.09, 0.71)	.13 4	0.46							
Competent				0.13 (-0.23, 0.49)	.48 5	0.22							
Satisf. physician				0.13 (-0.22, 0.48)	.46 5	0.23							
Satisf. care				0.15 (-0.19, 0.48)	.40 4	0.27							
Supervisors' ratings													
Empathic				0.73 (0.06, 1.40)	<b>.03</b> <b>7</b>	0.71							
Attentive				0.54 (-0.17, 1.25)	.13	0.49							

			8			
Tense	-0.49 (-1.31, 0.33)	.24	5	0.33		
Colleagues' ratings						
Empathic	0.40 (-0.21, 1.01)	.20	3	0.36		
Attentive	0.95 (0.30, 1.60)	<b>.00</b>	<b>6</b>	0.85		
Tense	-0.39 (-1.37, 0.59)	.43	9	0.24		
Absenteeism					0.88 (-2.94, 4.70)	.65 0.12
						4

Bold indicates statistical significance (two-tailed,  $p < .05$ ).

<sup>a</sup>MD = Adjusted mean difference between groups in change from baseline (i.e., difference of differences).

<sup>b</sup>Cohen's  $d$  as MD divided by the pooled standard deviation at baseline. Values indicating improvement are coded to be positive; values indicating worsening are coded to be negative.

<sup>c</sup> $p$ -values for the overall interaction effect include all measurements from baseline.

**Table 4. Program satisfaction**

Satisfaction domains and items (n = 59)	Mean (SD)	Min-Max
<i>Overall satisfaction (1 = very dissatisfied; 7 = very satisfied)</i>		
How satisfied were you with the program?	6.05 (1.21)	1-7
How satisfied were you with the trainers?	6.44 (0.86)	4-7
<i>Benefit (1 = great harm; 7 = great benefit)</i>		
How do you rate your professional benefit of the program?	5.97 (0.87)	4-7
How do you rate your private benefit of the program?	6.2 (0.85)	4-7
<i>Recommendation (1 = no; 7 = yes)</i>		
Would you recommend the program?	6.22 (1.02)	3-7

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The impact of a tailored mindfulness-based program for resident physicians on distress and the quality of care:  
A randomised controlled trial



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